

# Go/no-go checklist in relation to sanctions and counterterrorism measures

## TOOL 9

### INTRODUCTION

For any decision on funding opportunities, you should consult reference documents, such as a donor’s official guidelines to ensure they do not contradict your organisation’s internal policies or compromise a principled approach.

Specific conditions related to counterterrorism are not always known when responding to a call for proposals from new donors or previously untapped funding mechanisms, but it is important to consider them as early as possible, and certainly before entering into any legal agreement or contract. This will ensure compatibility with internal policies and standards and identify any red lines that might be crossed.

<b>Location:</b>		<b>Donor:</b>	
Funding Mechanism:		Checklist completed by (name, title):	
Signature:		Date:	

### Approved donors:

**1. Is the donor on a list of pre-approved partners and/or have you worked as a partner with this donor before?**

YES      NO

**If no**, seek additional information about the donor to ensure the partnership would not compromise independence or neutrality.

**2. Has your organisation already worked with this donor on this particular funding mechanism?**

YES      NO

**If no**, seek additional information about the funding mechanism to ensure the partnership would not compromise independence or neutrality.

- 3. Does the funding opportunity require a national government or a specific government entity to be involved in implementation or oversight of your organisation?**

YES NO

If **yes**, consider the authority in question carefully when you answer question 8.

### Nature of opportunity:

- 4. Have you reviewed the funding opportunity document and confirmed a strategic and programmatic fit between your organisation's objectives and priorities and the donor's?**

YES NO

If **no**, consider whether the opportunity should be pursued.

- 5. Is the objective of the funding opportunity humanitarian and not political?**

YES NO

If **no**, seek additional information.

- 6. Do you know whether the donor has any sanctions or counterterrorism-related requirements?**

YES NO

If **yes**, ensure these requirements are reviewed by a legal adviser.

If **no**, seek additional information. See [Tool 8](#) on reviewing donor agreements for sanctions and counterterrorism clauses.

- 7. If you answered "yes" to the previous question, are you confident that accepting funds from the donor will not have any negative effects on your organisation's respect, real or perceived, for the humanitarian principles?**

YES NO

If **no**, the opportunity must be dropped.

- 8. Are you confident that accepting the donor's funding and counterterrorism-related requirements will not have any negative effects on your organisation's reputation and acceptance among beneficiaries, host communities and others, or increase protection risks for the civilian population?**

YES NO

If **no**, the opportunity must be dropped.

- 9. Does this funding opportunity ...**

Allow your organisation to provide impartial assistance based on needs alone?

YES NO

Allow your organisation to operate independently and without the imposition of a political agenda, including in the selection of target locations and beneficiaries?

YES      NO

Require your organisation to share data or information which goes beyond standard beneficiary intention surveys, and which could be used for security or military purposes?

YES      NO

**If no to any of the above**, the opportunity must be dropped.

### **Humanitarian access and security:**

- 10. Have you conducted a context analysis, including a mapping of stakeholders, and a security risk assessment for the project location?**

YES      NO

**If no**, you should undertake a field visit to identify the main health, safety and security risks, and inform the go/no-go decision. You should record the main risks and mitigation measures in the project document.

- 11. Are you confident there are no groups present in the target area that the donor designates as terrorist?**

YES      NO

**If no**, how will compliance with the donor's requirements be ensured while maintaining a principled approach?

### **Organisational capacity:**

- 12. Does your organisation have the financial management, grant management and project management capacity to implement the project?**

YES      NO

**If no**, ensure the resources required, including human resources, are included in the budget and covered by the donor.